



2152

PATENT
Attorney Docket No.: ABREAU-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert O. Keith, Jr.

Serial No.: 09/800,607

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
APPLYING A PARAMETRIC
SEARCH METHODOLOGY TO A
DIRECTORY TREE DATABASE
FORMAT**

) Group Art Unit: 2152

) Examiner:

TRANSMITTAL LETTER) 260 Sheridan Avenue, Suite 420
Palo Alto, CA 94306
(650) 833-0160**RECEIVED**

JAN 22 2002

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: Narauhu

HAWERSTOCK & OWENS LLP

Date: 11/21/01 By: Teresa
Commissioner for Patents, Washington D.C. 20231
mail in an envelope addressed to the: Assistant
shown below with sufficient postage as first class
deposited with the U.S. Postal Service on the date
hereby certifying that this paper (along with any
hereby certified that this paper (along with any
CERTIFICATE OF MAILING (37 CFR § 1.8(a))

- 1 -

- U.S. Patent No. 6,078,914.
- U.S. Patent No. 5,987,446; and
- U.S. Patent No. 5,696,962;

to the examination of this application:

Applicant has become aware of the following printed publications which may be material
citations of official record in this application.

disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these
above-identified application, and are therefore submitted in compliance with the duty of
The citations listed below, copies attached, may be material to the examination of the

Sir:

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METHOD AND APPARATUS FOR
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DISCLOSURE STATEMENT
SUPPLEMENTAL INFORMATION
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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

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Attorneys for Applicant



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) Examiner:
)
) **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR § 1.97(e)**
) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650)833-0160

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached three (3) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on August 27, 2001. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant